

P23849.A01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :Maurice W. HAFF

Group Art Unit: 2175

Serial No :10/657,221

Examiner:

Filed :September 9, 2003

For :FILE TRANSFER SYSTEM

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents and Trademarks
Washington, DC 20231

Sir :

In accordance with Applicants' duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby submit the following Information Disclosure Statements under 37 C.F.R. § 1.97 and § 1.98 and in conformance with MPEP § 609.

Applicants hereby make of record the Information Disclosure Statement filed September 13, 2002 and the information cited in the Office Actions mailed October 29, 2003, May 19, 2003 and November 25, 2002 in parent application 10/167,697. Applicants also hereby make of record the Information Disclosure Statements filed January 23, 2001, and the information cited in the Office Action mailed August 30, 2001 in parent application 09/694,472 (now U.S. Patent No. 6,442,571). Additionally, Applicants hereby make of record the Information Disclosure Statements filed April 7, 1999; December 17, 1999 and May 1, 2000 and the information cited in the Office Actions mailed June 7, 2000 and January 31, 2000 in parent application 09/190,219 (now U.S. Patent No. 6,129,619).

The Examiner is respectfully requested to consider the documents cited in the above mentioned Information Disclosure Statements and to make the documents therein of record in the instant application.

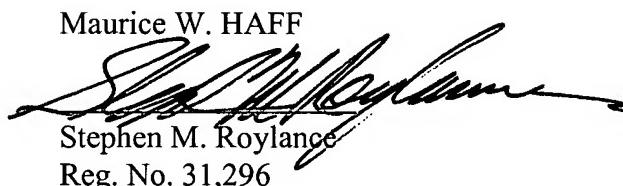
Applicants note that copies of the documents cited in the parent applications were either submitted with the above mentioned Information Disclosure Statements or were made of record by the Examiner. Accordingly, pursuant to procedure set forth in MPEP § 609 and 37 C.F.R. § 1.98(d), the Examiner is invited to review and consider the documents in the parent applications.

For the convenience of the Examiner, the documents cited in the parent applications are listed on the attached PTO-1449 form. The Examiner is requested to initial the PTO-1449 form and return the initialed copy with the next communication from the U.S. Patent and Trademark Office.

If the Examiner has any questions, the Examiner is invited to contact the undersigned at the below listed telephone number.

Respectfully submitted,

Maurice W. HAFF



Stephen M. Roylance
Reg. No. 31,296

December 9, 2003
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Form PTO-1449 DEC 12 2003 PATENT & TRADEMARK OFFICE		U.S. Department of Commerce Patent and Trademark Office								Atty. Docket No. P23849	Serial No. 10/657,221	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		Applicant M. HAFF et al.										
		Filing Date September 9, 2003				Group 2175						

U.S. PATENT DOCUMENTS

EXAMINE R INITIAL	DOCUMENT NUMBER								DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3	6	4	8	2	5	6	03/07/72	PAINET AL.					
	4	2	2	5	9	1	8	09/30/80	BEADLE ET AL.					
	4	8	9	1	7	8	5	01/02/90	DONOHOO					
	4	9	7	5	8	3	0	12/04/90	GERPHEIDE ET AL.					
	5	1	4	2	6	2	2	08/25/92	OWENS					
	5	2	1	0	8	6	9	05/11/93	WILLIAMS					
	5	2	1	8	6	9	7	06/08/93	CHUNG					
	5	2	6	3	1	5	7	11/16/93	JANIS					
	5	3	1	3	5	8	1	05/17/94	GIOKAS ET AL.					
	5	3	1	9	7	7	6	06/07/94	HILE ET AL.					
	5	3	3	3	1	5	2	07/26/94	WILBER					
	5	3	9	4	5	2	1	02/28/95	HENDERSON ET AL.					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER								DATE	COUNTRY		CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	1	OLIPHANT, "Power Programming: Windows-toUnix Sockets," PC Magazine, February 18, 1997.
	2	POMPILI, "Multiple Personalities," PC Magazine, May 27, 1997.
	3	VERTHEIN ET AL., "Networking Software," PC Magazine, December 17, 1996.
	4	ZAGAESKI, "Report: Micro Tempus TCS Is High-Function File Transfer Solution That Is More Flexible Than Competitors,"
		http://www.microtempus.com/press/giga_report.html, May 26, 1998, printed October 19, 1998.
	5	"Anyware Technology-Your secure remote access solution!," http://www.anywaretechnology.com/support/techfaqs.html, printed
		October 19, 1998.
	6	"Battle Group Information Exchange System (BGIXS) Upgrade Page," http://www.asclab.com/bgixs/bgixs2.html, printed
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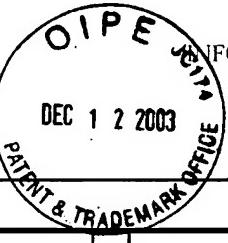
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	5	4	0	8	3	3	3	04/18/95	KOJIMA et al.					
	5	4	2	2	9	9	9	06/06/95	TRAVIS et al.					
	5	4	2	3	0	0	2	06/06/95	HART					
	5	4	4	2	7	5	0	08/15/95	HARRIMAN Jr. et al.					
	5	4	6	9	5	4	5	11/21/95	VANBUSKIRK et al.					
	5	5	1	3	3	2	8	04/30/96	CHRISTOFFERSON					
	5	5	5	3	3	7	1	09/10/96	UCHINO et al.					
	5	5	5	5	4	2	7	09/10/96	AOE et al.					
	5	5	6	4	0	1	8	10/08/96	FLORES et al.					
	5	5	9	0	3	3	4	12/31/96	SAULPAUGH et al.					
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	5	6	1	5	3	3	6	03/25/97	ROBSON et al.					
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	DOCUMENT NUMBER						DATE	COUNTRY			CLASS	SUBCLASS	TRANSLATION YES NO	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)														
	7	"CA-XCOM [®] : Enterprise-Wide Data Transport Solution," Computer Associates [®] Brochure, Copyright 1997-1998.												
	8	"CA-XCOM for Windows95 Product Information," http://www.cai.com/products/xcom_win_95.htm , printed October 19, 1998.												
	9	"CA-XCOM for Windows95-Release 3.0," http://www.cai.com/products/announcements/xcom_win_95.htm , printed October 1998.												
	10	"CLIENT-SERVER," http://www.digicorp.co.uk/rucksack/sc.html , printed October 19, 1998.												
	11	"Computer Dealer News," http://www.plesman.com/archive/cdn/97YCD46C.htm , printed October 19, 1998.												
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	5	6	1	9	6	3	8	04/08/97	DUGGAN et al.			
	5	6	2	3	6	4	4	04/22/97	SELF et al.			
	5	6	3	0	0	6	0	05/13/97	TANG et al.			
	5	6	3	0	0	6	3	05/13/97	McCONNELL			
	5	6	3	2	0	1	8	05/20/97	OTORII			
	5	6	3	4	0	0	5	05/27/97	MATSUO			
	5	6	3	4	0	1	0	05/27/97	CISCON et al.			
	5	6	3	4	0	5	7	05/27/97	DICKINSON			
	5	6	3	4	1	2	9	05/27/97	DICKINSON			
	5	6	5	2	9	0	5	07/29/97	SHINJO et al.			
	5	6	5	9	7	9	4	08/19/97	CALDARALE et al.			
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	14	"CONNECT: Direct for Windows 95," http://www.stercomm.com/pdsv/ploc/conn/drec/cd-win 95.html , printed October 19,										
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	15	"CONNECT: Direct Product Summary," http://www.stercomm.com/pdsv/ploc/conn/drec/psum-01.html , printed October 19,										
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	16	"DOWNLOAD.COM--Cyberpaste," http://www.download.com/PC/Result/TitleDetail/0,4,0-32500,1000.html?st.dl.results.list .										
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	5	7	7	8	3	6	8	07/07/98	HOGAN et al.	
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	DOCUMENT NUMBER			DATE	COUNTRY			CLASS	SUBCLASS	TRANSLATION YES NO
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	18	"DOWNLOAD.COM--DropChute+," http://www.download.com/PC/Result>TitleDetail/0,4,0-50652,1000.html?st.dl.results.								
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		34932,1000.html?st.dl.results.list.tdtl, printed September 16, 1998.								
	24	"DropChute+: Frequently Asked General Questions," http://www.hilgraeve.com/dcpfaqs.html, printed September 16, 1998.								
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	27	"EverLink™ Suite," http://www.anywaretechnology.com/products/everlink/overview.html, printed October 19, 1998.								
	28	"Focus TeleContact," http://www.focusworks.com/TC.html, printed September 25, 1998.								
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	29	'Hilgraeve Announces DropChute Enterprise,' printed January 1999.				
	30	'Home Page: Products-CyberPaste,' http://www.dsdcorp.com/cyberpaste.htm , printed September 25, 1998.				
	31	'MicroTempus,' http://www.citrix.co.uk/cba/alliances/mtempus.html , printed October 19, 1998.				
	32	'News Release: Harbinger and Micro Tempus Team Up For Electronic Commerce Solutions and Services,' http://www.microtempus.com/press/harbinger.html , printed October 19, 1998				
	33	'NIWOT FREQUENTLY ASKED QUESTIONS: Gigabyte Express for Macintosh,' http://www.niwot.com/faq.htm , printed October 19, 1998.				
	34	'NIWOT Gigabyte Express DATA SHEET,' http://www.niwot.com/dsgbx.htm , printed October 19, 1998.				
	35	'QEC' QEC Technology Corporation Brochure, Copyright © 1995-97 (as revised November 1997 or later).				
	36	'Rucksack-an overview,' http://www.digicorp.co.uk/rucksack/rcksck_lit.htm , printed October 19, 1998.				
	37	'simpleSend,' http://www.mercury-group.com/ssinfo.html , printed September 25, 1998.				
	38	'StarBurst Communications--Products,' http://www.starburstcom.com/overview.htm , printed October 19, 1998.				
	39	'TDM Utility Software Products-PeerLink,' http://www.tdminc.com/peerlink.html , printed October 19, 1998.				
	40	'TDM Utility Software Products-PeerSync,' http://www.tdminc.com/peersync.html , printed October 19, 1998.				
	41	'ThorComm WebSite,' http://209.136.106.52/thorcomm/ , printed October 19, 1998				
	42	User's Manual 'DropChute+: Personal File Delivery Software,' printed September 16, 1998.				
	43	User's Manual 'Gigabyte Express™ for windows,' Copyright 1997-1998, printed June 1998..				
	44	Doolittle, Sean "The Scoop On File-Sharing Services, Get By With A Little Help From Your Peers," Dec. 2000, V.11, Issue 12, Pages 1-6 (pages 30-33 in print issue).				
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	5	9	1	7	9	1	2	06/1999	GINTER et al.					
	5	8	1	3	0	0	9	09/01/98	JOHNSON et al.					
	6	0	0	9	4	1	6	12/1999	PINTSOV					
	6	0	5	8	1	8	8	05/2000	CHANDERSEKARAN et al.					
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	6	0	2	9	2	0	1	02/2000	NEILL					
	5	6	3	4	0	1	2	05/1997	STEFIK et al.					
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	4	8	2	7	5	0	8	05/1989	SHEAR					
	4	9	9	9	8	0	6	03/1991	CHERNOW et al.					
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